

FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

2918-111

U.S. Application No. (if known)

New Application
10/564788

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.
PCT/EP2004/008057INTERNATIONAL FILING DATE
July 19, 2004PRIORITY DATE CLAIMED
July 17, 2003TITLE OF INVENTION
C5a RECEPTOR ANTAGONISTS

APPLICANT(S) FOR DO/EO/US

Gerd HUMMEL, Elsa LOCARDI, Thomas Polakowski, Dirk SCHARN, Karsten SCHNATBAUM

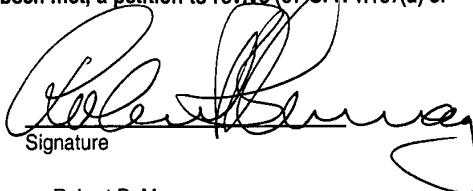
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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| 10/564788 U.S. APPLICATION NO. (Indicate New Application) | | INTERNATIONAL APPLICATION NO. PCT/EP2004/008057 | ATTORNEY DOCKET NO. 2918-111 |
| 20. <input checked="" type="checkbox"/> Other items or information: [x] RO/101 [] RO/105 [x] ISA/210(ISR) [] IB/301 [] IB/304 [] IB/308 [x] IB 401 [x] IB/409(IPER) [] IB/416 [x] Notification Concerning Submission or Transmittal of Priority Document | | | |
| 21. The following fees are submitted: | | | <u>CALCULATIONS</u> |
| <input checked="" type="checkbox"/> Basic National Fee \$300.00 | | | \$ 300 |
| 22. <input checked="" type="checkbox"/> Examination Fee If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0 All other situations \$200.00 | | | \$ 200 |
| 23. <input checked="" type="checkbox"/> Search Fee If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400.00 All other situations \$500.00 | | | \$ 400 |
| TOTAL OF 21, 22 AND 23 = | | | \$ 900 |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | \$ |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Rate |
| 152 - 100 = | 52 / 50 = | 2 | x 250 |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | \$ |
| Claims | Number Filed | Number Extra | Rate |
| Total Claims | 61 -20 = | 41 | X \$50.00 |
| Independent Claims | 4 - 3 = | 1 | X \$200.00 |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | \$ 3,650 |
| <input type="checkbox"/> Applicant claims small entity status. The fees indicated above are reduced by 1/2. | | | \$ |
| SUBTOTAL = | | | \$ 3,650 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | + \$ |
| TOTAL NATIONAL FEE = | | | \$ 3,650 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | + \$ |
| TOTAL FEES ENCLOSED = | | | \$ 3,650 |
| | | | Amount to be refunded |
| | | | Amount to be charged |

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| U.S. APPLICATION NO. <i>10/7564788</i> New Application | INTERNATIONAL APPLICATION NO. PCT/EP2004/008057 | ATTORNEY DOCKET NO. 2918-111 |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of \$ <u>3,650</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</p> | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | |
| <p>SEND ALL CORRESPONDENCE TO: Customer No. 06449</p> | | |
| <p>Rothwell, Figg, Ernst & Manbeck 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040</p> | | |
|  <p>Signature</p> <p><u>Robert B. Murray</u> Name</p> <p><u>22,980</u> Registration Number</p> | | |